

<u>Division Responsible</u>	<u>Law/Regulation</u>	<u>Filing Requirement</u>	<u>Requirement Met</u>		<u>Waiver Requested</u>	<u>Approve Waiver?</u>	
			Yes	No		Yes	No
Financial Analysis Financial Analysis Financial Analysis Financial Analysis Financial Analysis Financial Analysis Financial Analysis Financial Analysis Financial Analysis Financial Analysis	Section 3 807 KAR 5:011	1) Type not smaller than 6 point or typewritten on good quality paper.					
		2) Pages 8 ½ X 11 in size.					
		3) Loose leaf form using one side of the paper only, with not more than one schedule to a page.					
		4) Front cover page containing the following:					
		a) Name of utility and location of principal office.					
		b) Statement of kind of service offered.					
		c) General statement of territory served.					
		d) Date of issue and date is to become effective.	N/A unless tariff has an effective date.				
		e) Signature of the officer of the utility authorized to issue tariffs.	N/A				
		f) In the upper right hand corner the Commission number of the tariff.					
Financial Analysis Financial Analysis Financial Analysis Financial Analysis Financial Analysis Financial Analysis Financial Analysis Financial Analysis Financial Analysis Financial Analysis	Section 3	5) Second and succeeding pages containing:					
		a) All the rules and regulations of the utility.					
		b) Rate schedules showing all rates and charges for the several classes of service.					
		c) Signature of the officer of the N/A utility authorized to issue tariffs.	N/A				
		d) Date of issue and date tariff is to become effective.	N/A unless tariff has an effective date.				
		e) In the upper right hand corner, the Commission number of the tariff.					
		6) In that portion of the tariff dealing with rates, the following information under the following captions in the order listed:					
		a) Applicable: show territory covered by tariff.					

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Financial Analysis		b) Availability of service: show classes of customers affected, such as domestic, commercial, etc.					
Financial Analysis		c) Rates: a list of all rates covered by tariff.					
Financial Analysis		d) Minimum charge: state amount of charge and quantity allowed.					
Financial Analysis		e) Delayed payment charge: whether penalty or discount.					
Financial Analysis		f) Term: if contracts are made for certain periods, length of term.					
Financial Analysis		g) Special rules: any special rules and regulations in effect covering this tariff.					
Financial Analysis	807 KAR 5:011 Section 4	Contents of rate schedules:					
Financial Analysis		1) Must state the city, town, village or district in which rates are applicable. If schedules applicable in a large number of communities, must be accompanied by an accurate index by which each community in which the rates are applicable may be readily ascertained, in which case reference must be made to the index sheet.					
Financial Analysis		2) Rate schedule must state that class of service available under the rates stated therein.					
Financial Analysis		3) For a tariff in which a number of schedules are shown for various uses, each schedule shall be identified by a number or by a group of letters, and if by a group of letters, the designation shall be indicative of the class of service for which the schedule is available.					
Financial Analysis		4) Each page of the tariff shall bear the commission number of the tariff, the date issued and effective, the signature of the issuing officer, and in the upper right hand corner, a further designation, such as "Original Sheet No. 1," "Original Sheet No. 2," etc.					
Financial Analysis		5) A statement as to whether a minimum charge is made, and if so, a listing of all such charges and a statement as to whether the minimum charge is subject to prompt payment discount or delayed payment penalty.					

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Financial
Analysis

Section 6

If tariff filing involves the furnishing of equipment or services to the customer by the utility, must have a description of the equipment or service. (Cost of service study waived by Administrative Case 323 for non-dominant.)

Requirement Met			Approve Waiver?	
Yes	No		Yes	No